Docket No.: 2013P103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JIN SOO HAN, ET AL.

Application No.:

Filed:

For: Channel Allocation Method In Multirate

WDM System

Art Group

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 01 1911

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800

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Substitute for form 1449A/PTO		С	C mplet if Kn wn		
		Application Number			
INFORMATI	ON DISCLOSURE	Filing Date			
STATEMEN'	T BY APPLICANT	First Named Inventor	Jin Soo Han		
(use as many sheets as necessary)		Art Unit			
		Examiner Name			
Sheet	of	Attorney Docket Number	2013P103		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		1998 IEEE, "Effect of Group Velocity Dispersion on Stimulated Raman Crosstalk in Multichannel Transmission Systems", J. Wang, et al., Vol. 10, No. 4 April 1998, 3 pgs		
		Photonics Conference 2002, "Stimulated Raman Scattering-induced Band-to-Band crosstalk Effects in multrate WDM link", J. Han, et al., (with English translation)		
		IEEE Photonics Technology Letters, Vol. 7, No. 1, Jan. 1995, "Effect of Modulation Statistics on Raman Crosstalk in WDM Systems", 3 pages		
		OFC '94 Technical Digest, "Bandwidth of cross talk in Raman amplifiers", 2 pages		

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Examiner	Date	
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¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO		С	C mplete if Known		
		Application Number			
INFORMAT	ION DISCLOSURE	Filing Date			
STATEMENT BY APPLICANT (use as many sheets as necessary)		First Named Inventor	Jin Soo Han		
		Art Unit			
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	U.S. PATENT DOCUMENTS				
		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code ² (if known)	or Issue Date	or Issue Date MM-DD-YYYY Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS					
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Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Ľ
		P1999-009278	02-05-1999	ETRI		X
		P2001-0057213	07-04-2001	Samsung Electronics Co., Ltd.		X
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